# Digital Audio Broadcasting

Mike Brookes SC5 - b

# Digital Audio Broadcasting

- DAB Broadcasting
  - OFDM, SFN, Transmission frames
  - UK ensembles, System Parameters
- Source Coding MP2
- Channel Coding
  - Convolution, Puncturing, Freq & Time interleaving
- Receiver front end
- Channel decoding
- Synchronization

#### Main References

- 1. ETSI. "Radio Broadcasting Systems; Digital Audio Broadcasting (DAB) to mobile, portable and fixed receivers". EN 300 401, European Telecommunications Standards Institute, April 2000.
- 2. W. Hoeg and Thomas Lauterbach. "Digital Audio Broadcasting: Principles and Applications of Digital Radio". John Wiley, 2003.
- 3. C. Gandy. "DAB: an introduction to the Eureka DAB System and a guide to how it works". Technical Report WHP-061, British Broadcasting Corp, June 2003.
- 4. M. Bolle, D. Clawin, K. Gieske, F. Hofmann, T. Mlasko, M.J. Ruf, and G. Spreitz. "The receiver engine chip-set for digital audio broadcasting". In Intl Symp on Signals Systems and Electronics, pages 338–342, October 1998.
- 5. K. Taura, M. Tsujishita, M. Takeda, H. Kato, M. Ishida, and Y. Ishida. "A digital audio broadcasting (DAB) receiver". IEEE Trans Consumer Electronics, 42(3):322–327, August 1996.

# History of DAB

- 1986 DAB consortium formed
  - France, Germany,
    Netherlands, UK
  - Eureka 147 development project
- 1990 First trial broadcasts

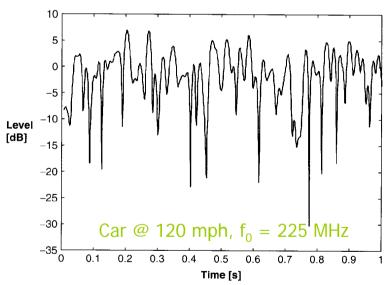


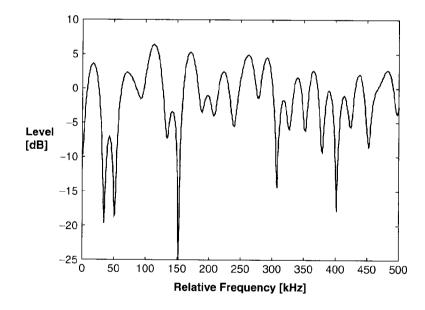
- 1993 Public demonstration system in UK
- 1995 Network broadcasts in UK
- 1997 World DAB forum formed

#### Problems with AM and FM

#### Multipath fading

- Reflections from aircraft, vehicles, buildings
- very large variations in signal strength over distances of ~1 m



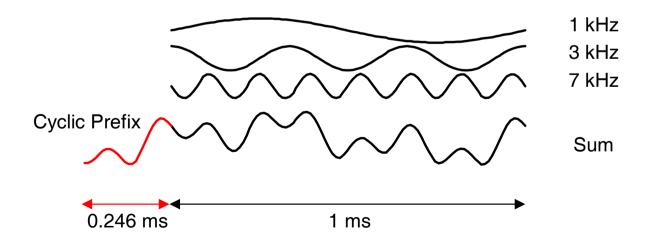


#### Interference

from equipment, vehicles and other radio stations

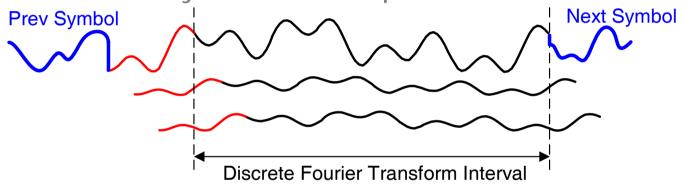
#### **OFDM**

- Orthogonal Frequency Division Multiplexing
- 1536 carriers at 1 kHz spacing
  - symbol length: 0.246 + 1.0 = 1.246 ms
  - 2 bits per carrier per symbol (DQPSK)



# Cyclic Prefix

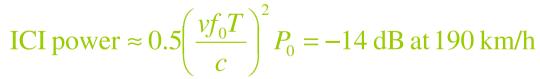
- Convolution with channel impulse response
  - = sum of delayed, scaled copies

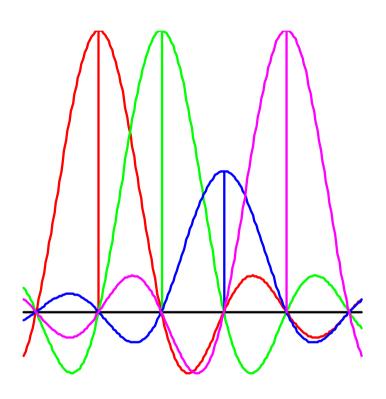


- If channel impulse response < 0.246 ms:</li>
  - No inter-symbol interference
  - DFT gives input spectrum of symbol multiplied by channel response:
    - frequency dependent amplitude and phase shift

#### Frequency Domain Orthogonality

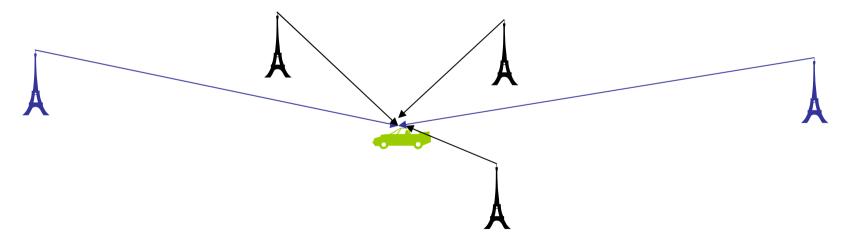
- Taking DFT of 1 ms segment is equal to
  - 1. convolving spectrum with sinc (FT of 1 ms window)
  - sampling at multiples of 1 kHz
- Component frequencies are orthogonal and do not interfere.
- Doppler spread damages orthogonality:





# Single Frequency Network

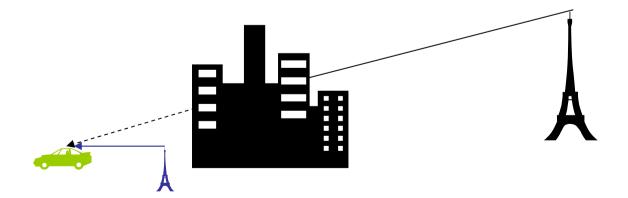
- All transmitters send an identical signal
- Interference-free if delay + multipath < 0.246 ms relative to nearest transmitter
- Optimal spacing  $\approx$  c  $\times$  0.246 ms = 74 km



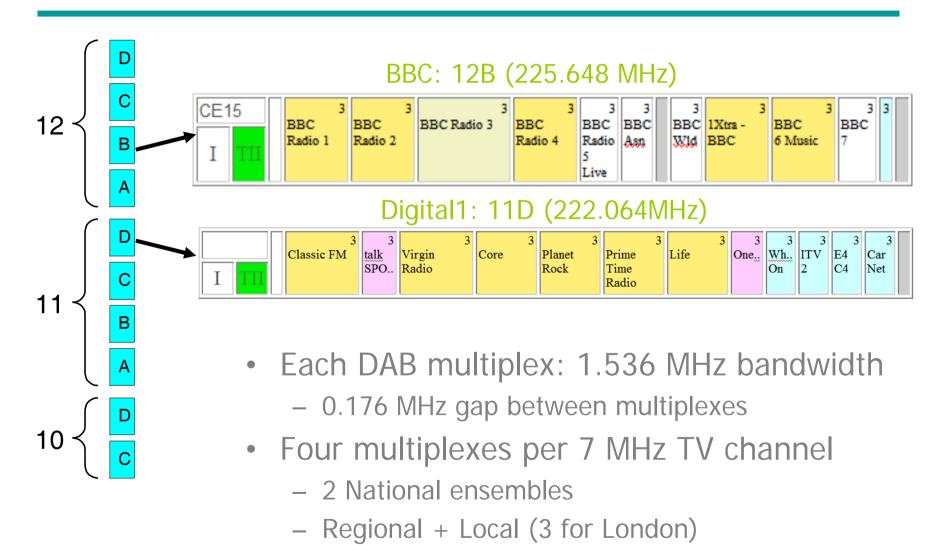
• Transmitters further than  $\approx 1.2 \text{ c} \times 0.246 \text{ ms}$  do more harm than good

#### Fill-in transmitter

- Can have a low-power fill-in transmitter to solve a local reception problem
- Add delay to synchronize with main Tx



# UK DAB Multiplexes/Ensembles



# Spectral Efficiency

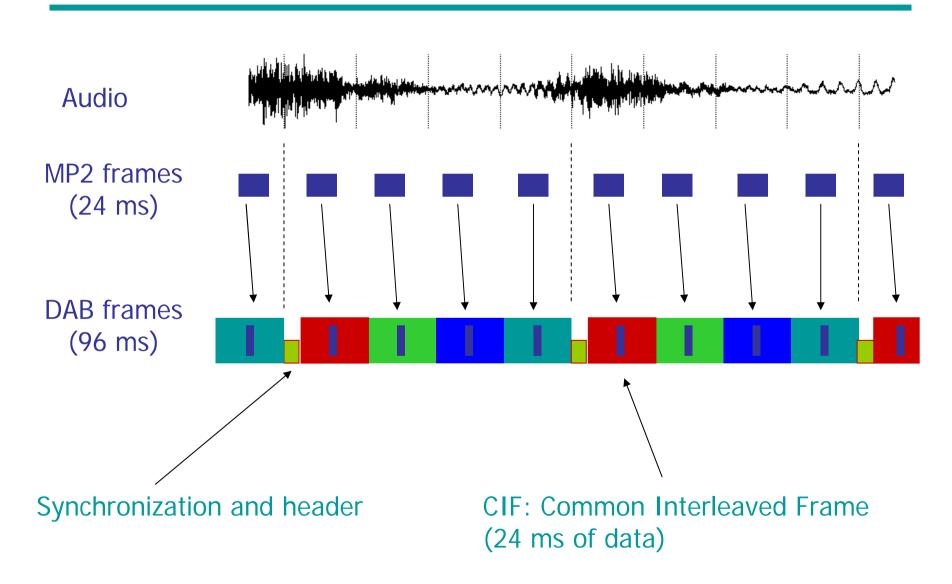
#### Existing FM transmissions

- Each transmitter has a bandwidth of 0.2 MHz
- Nearby transmitters must be 0.4 MHz apart
- 2.2 MHz needed for a network covering entire country

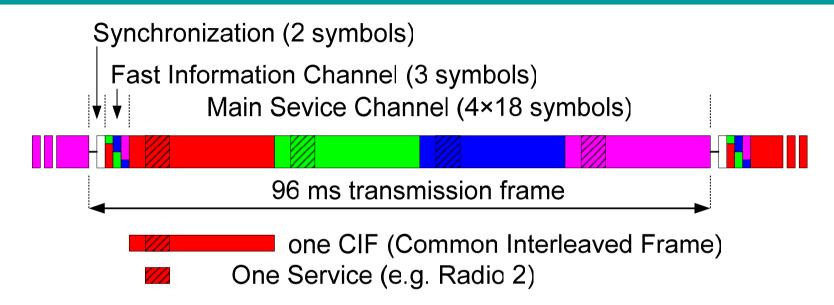
#### DAB

- 1.5 MHz for 10 services covering entire country using a single frequency network
- 15 times more efficient!

# Frame organization



#### **DAB Transmission Frame**



- CIF: (2.3+55.3) kbits/24 ms = 2.4 Mbps total
  - FIC: 96 kbps for multiplex config and service names
  - MSC: 2.304 Mbps for audio + data
    - Services: Radio 2 = 256 kbps, Radio 7 = 155 kbps
    - Only need to decode the wanted portion of the MSC

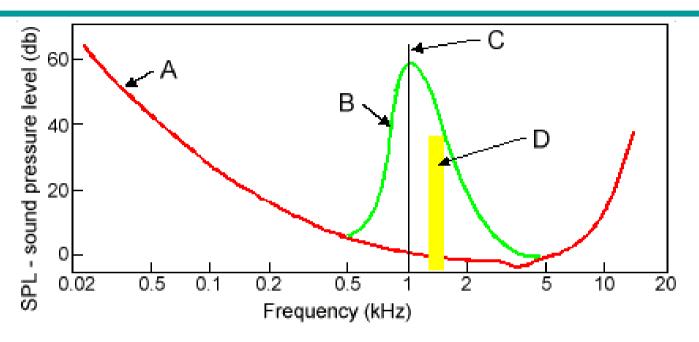
# System Parameters

- Centre frequency,  $f_0 \approx 220$  MHz (Band III)
  - Wavelength > 1 m ⇒ diffraction around objects
  - Lower frequencies are full up
- Total bandwidth = 1.537 MHz
  - Needs to be > 1.5 MHz for fading to be frequency selective
  - < 1.6 MHz to fit four into a 7 MHz TV channel</p>
- Cyclic Prefix = 0.246 ms
  - Needs to be > (transmitter spacing)/1.2c to allow SFN
  - Wasteful if long compared to useful symbol length
- Carrier Spacing = 1 kHz ⇔ Useful symbol length = 1 ms
  - Symbol length < 0.4/(Doppler spread)  $\approx 0.4c/(f_0v) = 10 \text{ ms}$
  - Symbol length < cyclic prefix for efficiency</li>
- Transmission frame = 76 symbols = 96 ms
  - Long for efficiency, short for ease of synchronization

# Source Coding

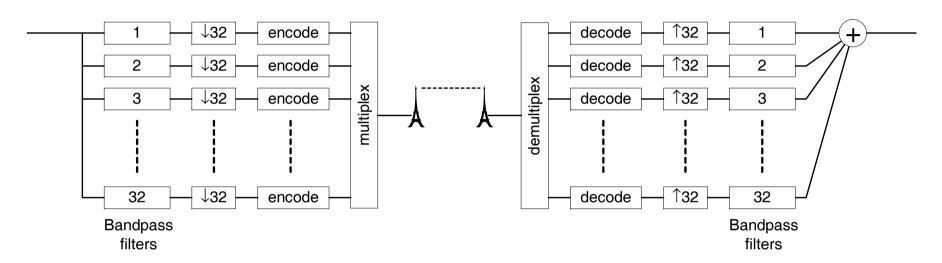
- Based on MP2 (MPEG 1 Layer 2)
  - Simpler than MP3 but less good
- Masking Psycho-acoustic model
  - loud sounds make quieter sounds inaudible at nearby frequencies and times
- Sub-band Processing
  - Input @ 48 kHz sample rate
  - Divide into 32 subbands of 750 Hz @ 1.5 kHz
    - 36 samples/subband in each 24 ms CIF frame
    - Only low 27 subbands are used (0 to 20.25 kHz)

### Masking



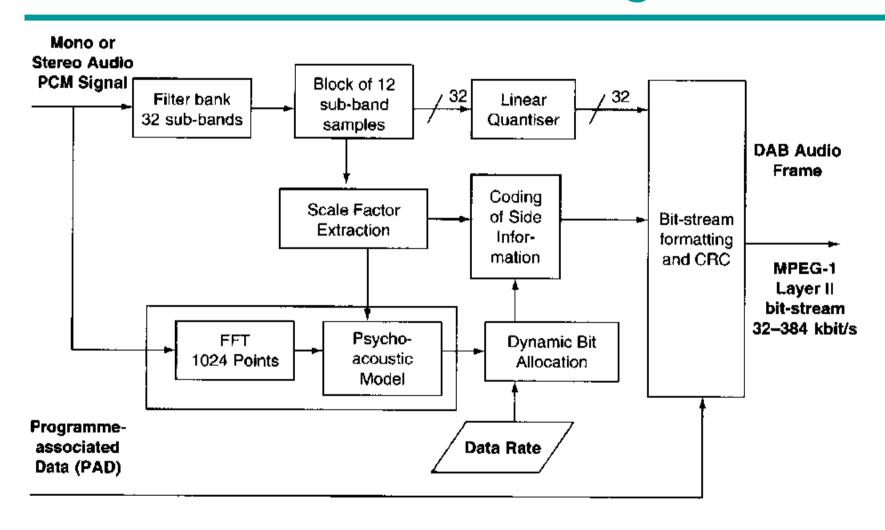
- Normal hearing threshold is A
- Threshold is changed to B because of tone C
- Higher quantization noise allowed in bands near tone C
- Band D can be completely eliminated
- Threshold calculated from FFT spectrum + Psycho-Acoustic model

#### **Subband Processing**



- Sample Rates: Input @ 48 kHz, Subbands @ 1.5 kHz
  - Total number of samples stays the same
- Noise and speech spectra are roughly flat within a sideband
- All bandpass filters are 750 Hz wide
  - efficent to implement

# Encoder Block Diagram



Use FFT to calculate a masking level for each subband

#### **Subband Coding**

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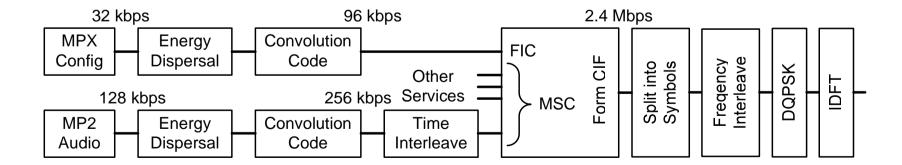
- Scale Factor calculated for every 8 ms
  - Scale factor = max absolute signal value
  - Samples are divided by scale factor before quantization
  - 3 scale factors per 24 ms quantized to 6 bits each
  - omit scale factors 2 and/or 3 according to how similar they are
    - need 2 bits to say what the choice is.
- Bit Allocation determined for entire 24 ms
  - Choose bits per sample for each subband:
    - < 2.25 kHz: 16 choices: 0, 1.7, 3, 4, ..., 14, 15, 16
    - < 8.25 kHz: 16 choices: 0, 1.7, 2.3, 3, 3.3, 4, 5, ..., 12, 13, 16
    - < 17.25 kHz: 8 choices: 0, 1.7, 2.3, 3, 3.3, 4, 5, 16</li>
    - < 20.25 kHz: 4 choices: 0, 1.7, 2.3, 16</li>
  - *n* bits gives SNR of 6n+1.6 dB
  - Subbands with 0 bits need no scale factors (save up to 18 bits)

#### Bit Allocation Procedure

- Aim: Maximize the minimum (over all subbands)
  mask to quantization noise ratio
  - If this ratio is > 1 then quantization noise inaudible
- Method
  - 1. Initialize bit allocation to 0 for each subband
  - 2. Find the worst subband
  - 3. Give it an extra bit (or fraction of a bit)
  - 4. Go back to step 2.
  - 5. Stop when all available bits are used up

# **Channel Coding**

- Energy Dispersal randomizes carrier phases
- Convolution Code adds protection
- Time interleaving combats burst errors
- Freq interleaving combats freq selective fading
- Inverse DFT converts phases into a waveform

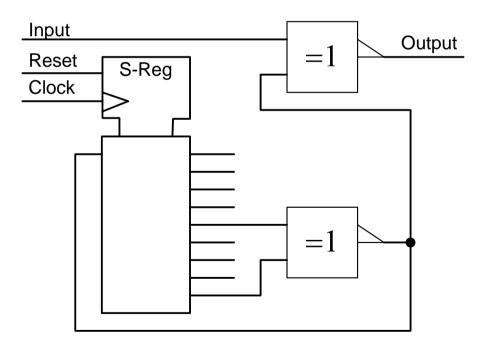


# **Energy Dispersal**

- If carrier phase changes linearly with frequency then IDFT gives a single impulse
  - Bad news for the transmitter

#### • Solution:

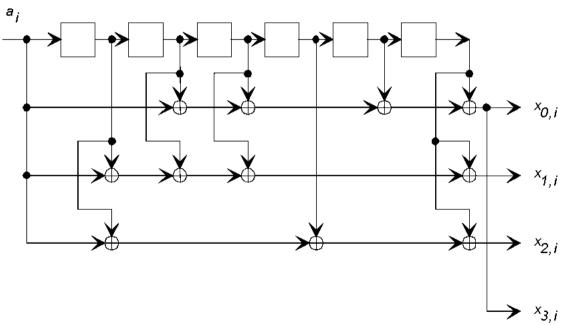
- XOR data bits with a pseudo-random sequence
- Generator polynomial:  $P(X)=X^9+X^5+1$
- Reset shift register at start of each 24 ms frame



9-bit shift register + two XNOR gates

#### **Convolution Coding**

- Four separate convolution codes with constraint length of 7
  - -M bits  $\rightarrow 4(M+6)$  bits
- Mother code has rate 1/4
- Extra 6 bits from emptying the shift register
- Reset Shift-Reg every 24 ms



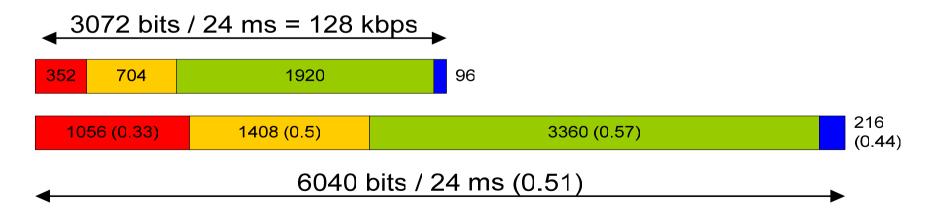
Output:  $x_{0,1} x_{1,1} x_{2,1} x_{3,1} x_{0,2} x_{1,2} \dots x_{3,M+5} x_{0,M+6} x_{1,M+6} x_{2,M+6} x_{3,M+6}$ 

# Puncturing

- Not all 4M+6 bits are transmitted
- Predefined puncturing patterns. Examples:
  - Rate 1/3 code
    - 8 input bits → 32 mother → 24 transmitted
    - Transmit: </l></l></l></l></l><
  - Rate 2/3 code
    - 8 input bits → 32 mother → 12 transmitted
    - Transmit: √√xx √xxx √√xx √xxx √xxx √xxx
- Code for each service defined in FIC
  - FIC itself always uses rate 1/3 code

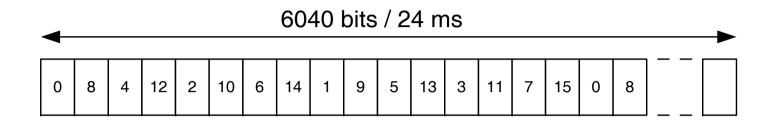
#### **Unequal Error Protection**

- Some audio code bits are much more critical than others
  - e.g. bit allocation, scale factors, samples, text
- Predefined unequal protection rates
- Example: 128kbps UEP level 3



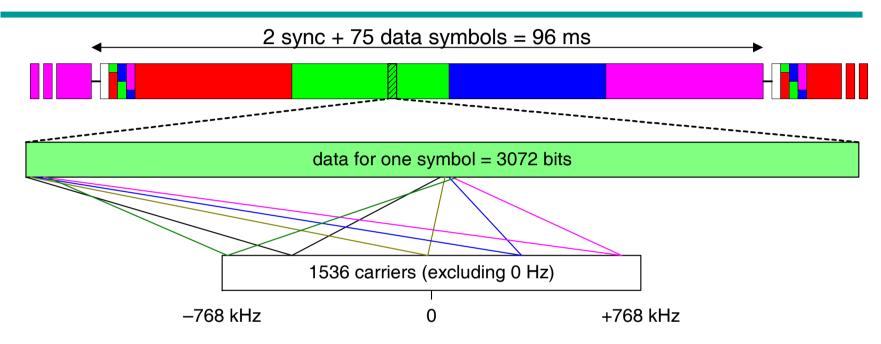
#### Time Interleaving

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- Makes data robust to burst errors
- Delay each bit by between 0 and 15 CIF frames
  - Delay between 0 and 360 ms
  - Imposes a coding delay o at least 360 ms
  - Requires memory in the receiver
- Delays of adjacent bits differ by  $\geq 4 \times 24$  ms
  - Adjacent bits are always in different transmission frames
- Not used for Fast Information Channel

# Frequency Interleaving



- The first 1536 bits of the symbol are assigned to carriers in a pseudo random sequence (same for all symbols).
- The next 1536 bits use the same sequence.
- Each carrier gets 2 bits (0 Hz carrier is not used)
- Prevents fading causing burst errors

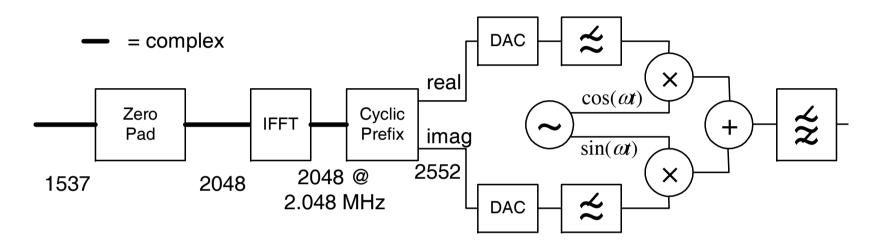
#### **DQPSK Modulation**

• If  $x_k, y_k \in \{0,1\}$  are the bits that map onto carrier k, then the complex amplitude for symbol n of a transmission frame is:

$$a_k(n) = a_k(n-1) \times (1 - 2x_k + (1 - 2y_k)j) / \sqrt{2}$$

- All carriers have constant  $|a_k(n)|=1$
- Called ¼π DQPSK because phase increment is an odd multiple of ¼π
- Worst case discontinuity at symbol boundary is 1.71 (instead of 2 for plain DQPSK without the  $\frac{1}{4}\pi$ )

#### Transmitter Output



1537 carriers padded with zeros to 2048 for efficient IFFT

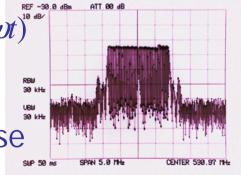
cyclic prefix added to complex IFFT output

Real/Imag parts modulate cos(ωt) and sin(ωt)

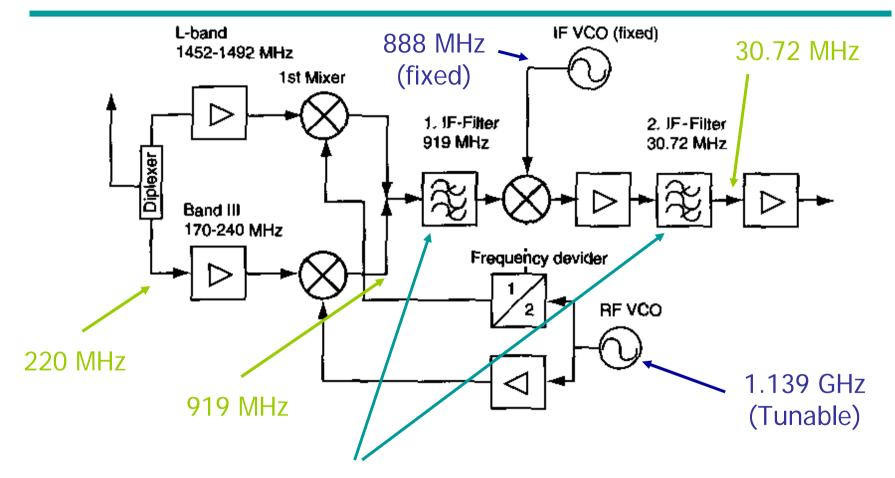
Bandpass filtered to remove sidelobes

- -71 dB bandwidth = 1.94 MHz

DC carrier unused – difficult to control phase

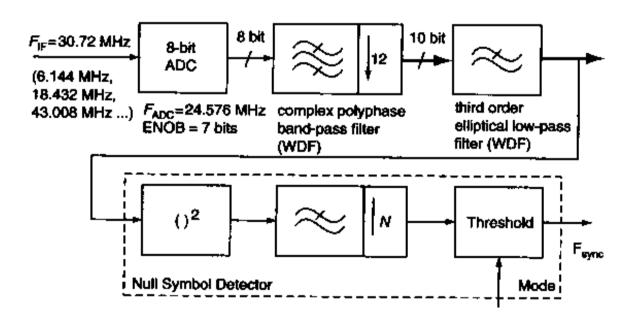


#### DAB Receiver



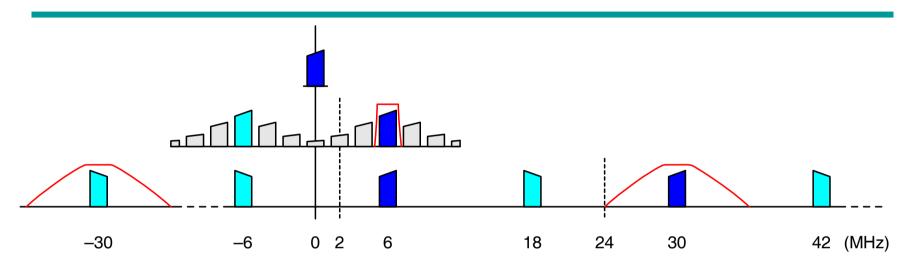
Bandpass filters have 1.537 MHz bandwidth

# Receiver Downsampling



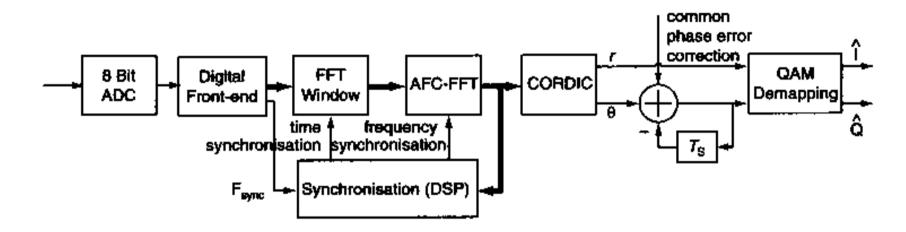
- ADC sample freq of 24.6 MHz aliases 30.72 MHz to 6.144 MHz
- Complex band-pass filter passes 6.144 MHz ±768 kHz DAB channel
- Downsample by 12 to give sample rate of 2.048 MHz with DAB channel aliased down to DC
- Lowpass filter energy to detect Null symbol every 96 ms

### Downsampling



- Analog filter removes images at 12 MHz spacing
- Digital sampling @ 24 MHz ⇒ 30 MHz aliased to 6 MHz
- Complex digital filter removes images at 2 MHz spacing
  - negative frequencies removed completely
  - use polyphase filter and combine with downsampling
- Downsample to 2 MHz ⇒ 6 MHz aliased to DC

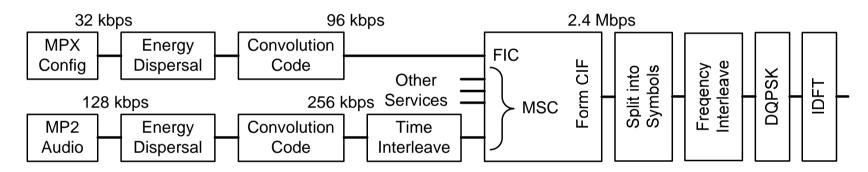
#### Receiver Demodulation



- Time-sync selects a 1 ms window for the FFT
- Freq-sync multiplies complex signal by  $e^{j\omega_{\rm offset}t}$  to correct for tuning errors and doppler shifts
- CORDIC block calculates phase and amplitude for DQPSK demodulation

# **Channel Decoding**

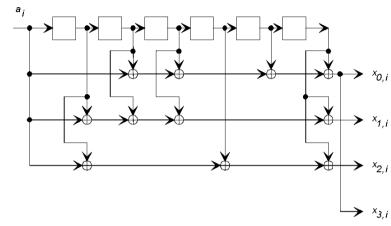
Must reverse the channel coding:



- FFT (and later processing) need only process symbols that contain the wanted service
- Time de-interleaving requires time delays of up to 15 CIF frames (24 ms each) – needs memory

# Viterbi Decoding

- Mother code is ¼ rate
  - Punctured before transmission
- Receiver must unpuncture to restore original mother code but with "unknown" bits



- Constraint length of  $7 \Rightarrow$  trellis has  $2^6 = 64$  states
- Branch metric compares input 4 bit sequence with "correct" value:
  - Cost for each bit = -1 if correct, +1 if wrong, 0 if punctured
- Delay decisions for ≈32 bits
- Re-encode and compare with input to estimate BER

#### Soft Decisions

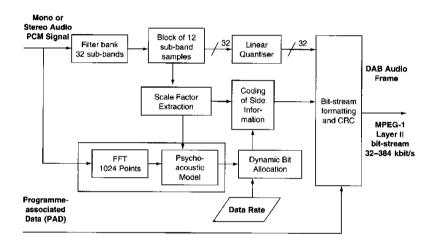
- "hard decision" decoder uses branch metric of ±1
- Ideal Branch metric is log(prob(z | x))
  - z is observed bit, x is "correct" bit
  - adding and/or multiplying by a constant makes no difference
- Can calculate ideal metric if you know the noise characteristics:
  - Flat Rayleigh fading with complex FFT output  $s_n$
  - Ideal branch metrics for the two QPSK bits are

$$\pm \Re(s_n s_{n-1}^*)$$
 and  $\pm \Im(s_n s_{n-1}^*)$ 

Use a 4-bit signed number to represent this

#### **Error Concealment**

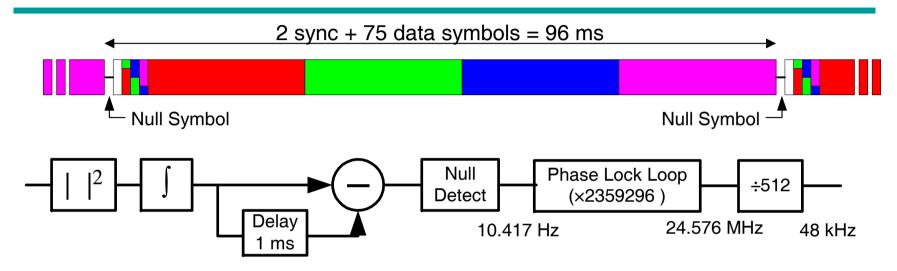
- Errors in MP2 bit allocation bits or high bits of scale factors are catastrophic
  - CRC check words are included in the MP2 bit stream
  - If these are wrong then sound is muted



# Synchronization Requirements

- The 48 kHz audio sample clocks must be identical in transmitter and receiver (long term average)
  - otherwise receiver will have too many/few samples
- At the input to the FFT, the carrier frequencies must be integer multiples of 1 kHz (= sample freq/2048)
  - otherwise the carriers will not be orthogonal
  - carrier frequencies are altered by doppler shifts
- The FFT processing window must be timed to make the most constructive use of multipaths
  - In practice the FFT window aims to start at the end of the cyclic prefix of the strongest received signal

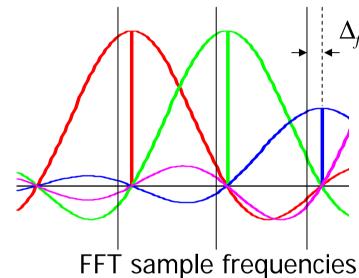
#### Frame Synchronization



- Detect Null symbols by low energy in 1 ms
- $(96 \text{ ms})^{-1} = 10.417 \text{ Hz} \times 2359296 = 24.576 \text{ MHz}$ 
  - Exact frequency multiplication is done using a phase lock loop
  - 24.576 MHz is the ADC sample clock
- $24.576 \text{ MHz} \div 512 = 48 \text{ kHz}$  audio sample clock
- Also finds approximate start of first symbol

# Effect of Frequency Offsets

- FFT samples spectrum at multiples of 1 kHz
- If carrier frequencies have an offset,  $\Delta_f$ , then they will no longer be orthogonal
  - must measure  $\Delta_f$  and compensate



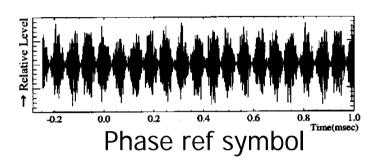
- compensation can be combined with the FFT
- Divide  $\Delta_f$  into integer and fractional multiple of 1 kHz
  - Integer part  $\Rightarrow$  wrong carriers
  - Fractional part ⇒ inter carrier interference

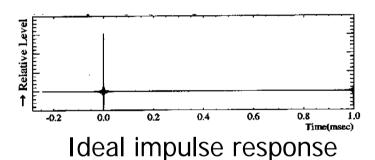
#### Fine AFC

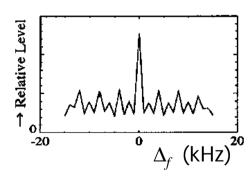
- Frequency error of  $\Delta_f \Rightarrow$  additional phase shift between successive symbols of  $\Delta \phi = 2\pi \Delta_f T$ 
  - T is symbol period = 1.246 ms
- Phase shift for each carrier should be  $(\frac{1}{4} + \frac{1}{2}k)\pi$  in the absence of noise
  - Find deviation from nearest correct value
  - Form energy-weighted average of phase error over all carriers
  - calculate  $\Delta f$
  - apply correction before or during FFT calculation by multiplying input signal by by  $\exp(-2\pi j\Delta_{f}t)$
- Only works to within a multiple of  $\frac{1}{4}\pi$

#### Coarse AFC

- Transmitted phases of phase reference symbol carriers are known:
  - Subtract transmitted phases from FFT output and do inverse FFT
  - Try lots of values of  $\Delta_f$  in the range  $\pm 8$  kHz or so
    - Subtract phases due to  $\Delta_f$
    - Result is impulse response of channel
  - Pick  $\Delta_f$  that gives the highest peak
    - Position of peak indicates where to put the end of the cyclic prefix







#### Benefits of DAB

- CD quality
- Mobile reception
- Spectral Efficiency
- European Standardisation
- Data as well as Audio
- Lower transmitter power
- Receiver features
  - easy tuning
  - pause